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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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First Named Inventor or Application Identifier			
David C. Taylor			
Express Mail Label No.	EL487542238US		

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
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Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
 2. ☒ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 3. ☒ Drawing(s) (35 USC 113) [Total Sheets - 4. Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation /divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if
applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Express Mail Certificate

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 60/146,878

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Date of Deposit: August 2, 2000

I hereby certify that this patent application in the name of David C. Taylor for USER-CONTEXT ANALYSIS ENGINE, together with the drawings, a single signature Declaration, Power of Attorney, and Petition, a Declaration Claiming Small Entity Status for an Independent Inventor, and Check No. 13876 for \$363.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,



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PATENT APPLICATION
Docket No. 1785.2.2

of

for

USER-CONTEXT ANALYSIS ENGINE

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USER-CONTEXT ANALYSIS ENGINE

BACKGROUND OF THE INVENTION

1. Related U.S. Applications

This application claims priority to U.S. Provisional Patent Application Serial No. 60/146,878, filed August 3, 1999, entitled "Data Extraction Tool," which application is incorporated herein by reference.

2. The Field of the Invention

This invention relates to a data extraction tool and, more particularly, to novel systems and methods for organizing information from a database for ready access by a user.

3. The Relevant Technology

In what is known as the information age, information is readily available electronically, through information repositories known as datastores and databases. Datastores are substantially unorganized collections of data, while databases are indexed in some fashion. The Internet, the world's largest database, has made available enormous quantities of information to anyone with a personal computer and Internet access. This can be very helpful for people who wish to learn about something or conduct business in the convenience of their own homes. However, it can also be tremendously time-consuming to locate a desired bundle of information among the millions available.

The Internet is organized only by the name of each web site. Each individual or group maintaining a web site decides how that web site will be organized. Thus, there is no official catalog of information available on the Internet. Anyone desiring information must hypothesize which web sites would be likely to have the desired data and navigate through

1 is still valid. Additionally, a user must perform a new search to locate any newly relevant sites
2 and search through those sites for relevant information.

3 Directories function differently than search engines. Rather than search based on
4 keywords provided by a user, most directories provide a user with an information scheme,
5 often hierarchically organized. The user then chooses what type of information to search for,
6 designating narrower groups of information with each choice. Ultimately, the user reaches
7 the bottom level of the hierarchy and receives a list of links to information within that level.

8 Directories are advantageous in that information concerning a certain topic is
9 typically grouped together. A directory probably will not inundate a user with information,
10 but rather provide a few links believed to be important by the creators of the directory.
11 Nevertheless, directories have drawbacks of their own.

12 For example, traditional directories contain information deemed of value by those
13 who compile them. A user may have an entirely different view of what is important and what
14 is irrelevant. A user may thus find that information he or she needs simply is not available
15 on the directory.

16 Also, directories take time to navigate. A user must make a series of decisions to
17 reach any useful information at all. Even then, a user may find it necessary to backtrack and
18 choose a different route through the hierarchy. Since a user cannot fashion groupings of
19 information, he or she may be required to view several branches of the hierarchy to obtain the
20 full range of information he or she desires.

21 Moreover, if a user does not know how to classify the bit of information sought, he
22 or she may not even be able to find it in the directory. For example, a user desiring to find
23 the meaning of "salmonella" in a biological directory may spend great amounts of time
24 looking through the "aquatic life" branch of the directory, without ever realizing that
25 "salmonella" is more properly classified as "microscopic life." The more a user's view of how
26

1 information should be organized differs form that of the directory's creators, the more
2 difficult it will be for the user to find information in the directory.

3 Consequently, there is a need for a data extraction tool capable of providing many
4 of the benefits of both search engines and directories, without drawbacks listed above. For
5 example, there is a need for a tool that could reliably provide a list of highly relevant
6 information locations based on a simple text query. Furthermore, such a tool should provide
7 ready access to the exact location of the information. Preferably, the tool would supply the
8 user with a list of locations or links that can be easily sorted and updated for the convenience
9 of the user. Furthermore, the tool should not require that the user understand the
10 configuration of the tool's internal databases.

11 In addition to the problems mentioned above, current searching methods are deficient
12 in a number of other ways. Consequently, a more advanced data extraction tool may provide
13 numerous benefits to those desiring to obtain information from a large datastore or database,
14 such as the Internet.

16 OBJECTS AND BRIEF SUMMARY OF THE INVENTION

17 In view of the foregoing, it is a primary object of the present invention to provide a
18 data extraction tool capable of context-sensitive searching, pinpointing, databasing,
19 automatically updating information, or any combination thereof, from a datastore or database.
20 Consistent with the foregoing object, and in accordance with the invention as embodied and
21 broadly described herein, an apparatus and method are disclosed, in suitable detail to enable
22 one of ordinary skill in the art to make and use the invention. In certain embodiments, an
23 apparatus and method in accordance with the present invention may be directed to locating
24 prices for products that can be purchased over the Internet.

25 Selected embodiments of the invention have modules for mining, databasing,
26 searching, filtering, pinpointing, presenting, indexing, or updating information for a user, or

1 some combination thereof. According to some embodiments, the data extraction tool mines
2 information from the information source and organizes the locations of that information
3 within a local database. Then, a user may query the tool for a desired type of information.
4 The tool filters the local database to provide a set of pinpoint site locations with information
5 of the type requested in the query. These pinpoint site locations are presented to a user and
6 indexed for future reference. The index of site locations may be updated automatically by the
7 tool.

8 A context system is provided for manually or automatically determining the proper
9 context for a user's query. Thus, the data extraction tool provides information with a high
10 probability of relevance to the user. The user obtains the information without expending
11 much effort to refine the search.

12 These and other objects, features, and advantages of the present invention will
13 become more fully apparent from the following description and appended claims, or may be
14 learned by the practice of the invention as set forth hereinafter.

15 16 **BRIEF DESCRIPTION OF THE DRAWINGS**

17 In order that the manner in which the above-recited and other advantages and objects
18 of the invention are obtained will be readily understood, a more particular description of the
19 invention briefly described above will be rendered by reference to specific embodiments
20 thereof which are illustrated in the appended drawings. Understanding that these drawings
21 depict only typical embodiments of methods and apparatus in accordance with invention and
22 are not therefore to be considered to be limiting of its scope, the invention will be described
23 and explained with additional specificity and detail through the use of the accompanying
24 drawings in which:

25 Figure 1 is a schematic block diagram of an individual node in a network system;
26

Figure 2 is a schematic block diagram of one embodiment of a data extraction tool on a server, such as the server of Figure 1;

Figure 3 is a schematic block diagram of a data extraction tool, as shown in Figure 2, configured for use with a node in a network system, as shown in Figure 1;

Figure 4 is a schematic block diagram of data structures for administering and executing a user interface in accordance with the invention;

Figure 5 is a schematic block diagram of data structures for administering and executing a filtering module in accordance with the invention;

Figure 6 is a schematic block diagram of data structures for administering and executing an attributes index in accordance with the invention;

Figure 7 is a schematic block diagram of methods for implementing one embodiment of the data structures and functions of Figure 2 in accordance with the invention;

Figure 8 is a schematic block diagram of methods for implementing one embodiment of the mining step of Figure 7 in accordance with the invention;

Figure 9 is a schematic block diagram of methods for implementing one embodiment of the database construction step of Figure 7 in accordance with the invention;

Figure 10 is a schematic block diagram of methods for implementing one embodiment of the searching step of Figure 2 in accordance with the invention;

Figure 11 is a schematic block diagram of an alternative method for implementing the data structures and functions of Figure 2;

Figure 12 is a schematic block diagram of methods for implementing one embodiment of the context construction module of Figure 11 in accordance with the invention;

Figure 13 is a schematic block diagram of methods for implementing one embodiment of the context comparison module of Figure 11 in accordance with the invention;

Figure 14 is a schematic block diagram of methods for implementing one embodiment of the information matching module of Figure 11 in accordance with the invention; and

1 Input devices 22 may include a keyboard 34, a mouse 36 or other pointing device 36
2 such as a stylus or graphics tablet, an interactive touch screen 38, a scanner 40, or even a
3 storage device 41 for providing data to the node 11. Similarly, output devices 24 may include
4 monitor 42, printer 44, storage devices 46, and the like for providing data from the node 11.

5 A router 48 may interconnect networks 30, 50 where each network 30, 50 may
6 include some simple nodes 52, such as clients 52a-52d, and servers 54. Networks 30, 50 are
7 well understood in the art. Accordingly, the hardware illustrated is by way of example, and
8 not limitation as to the hardware suite on which the invention may be implemented. More or
9 less equipment may be used in many particular embodiments.

10 The system 10 is the datastore or database from which information is to be obtained.
11 However, the system 10 need not be configured as shown in Figure 1. For example, the
12 system 10 may be a database contained on a single computer. However, many of the
13 subsequent descriptions will refer to the system 10 as a distributed network 10 of computers,
14 such as the Internet.

15 Figure 2 shows one embodiment of a data extraction tool 110, or tool 110, with its
16 associated modules. A mining module 112 gathers information from a data source, preferably
17 the Internet. A databasing module 114 categorizes and sorts information within a local
18 database. This information can be actual data directly from the data source, or it can be
19 simply pointers to locations of data within the data source.

20 An input module 116 interfaces with a user to receive a request for information. A
21 filtering module 118 filters information to isolate the data most relevant to a user's request.
22 A pinpointing module 120 locates and returns identification of the exact location of
23 information. A presentation module 122 presents information summaries and locations to a
24 user. An indexing module 124 organizes information for use and access by a user. An
25 updating module 126 automatically updates information in a local database.
26

1 The arrows in Figure 2 show a general chronological flow. However, the modules
2 shown do not have to be accessed in the order shown. In addition, modules can operate
3 multiple times. For example, the updating module 126 may utilize the mining module 112 to
4 obtain more information, which may then be shown to a user by the presentation module 122
5 and stored by the indexing module 124.

6 Referring to Figure 3, a node 11 may have a hard disk 128 or HD 128, an
7 input/output port 130 or I/O 130, a central processing unit 132 or CPU 132, and a memory
8 133. The modules 112, 114, 116, 118, 120, 122, 124, and 126 may be temporarily stored for
9 use in the memory 133, permanently stored in the hard disk 128, and processed through the
10 central processing unit 132. A user interface 134 and a server link 136 provide for
11 communication with a user and with the network 10 via the I/O 130. A transaction interface
12 138 may also be included to permit purchasing and selling over the network 10.

13 Figure 4 shows some data structures that may be included in the user interface 134.
14 A home page 140 provides a user with access to the node 11 with the data extraction tool
15 110. A web server 142 stores data that makes up the home page 140. CGI scripts 144 may
16 display data from the web server 142 for a user in the form of text 146 and graphics 148.

17 A free form input module 150 receives searching parameters, in the form of a query,
18 from a user. A semantic analysis module 152 parses the query and uses context templates 154
19 to develop a list of contexts that may correlate to information desired by a user. An inquiry
20 module 156 prompts a user for further guidance concerning which of the available contexts
21 are truly relevant. A query modification module 158 modifies the query to suit a user's
22 response to prompting from the inquiry module 156. A presentation module 160 displays
23 search results in a clear fashion for a user, and can also permit a user to interact with other
24 nodes (e.g. nodes 50, 52, 54) where further information may be stored.

25 In addition, a site interaction module 162 can permit partial processing of information
26 by the data extraction tool 110 before presentation to a user. A pinpoint selection module

1 164 chooses relevant sites for further processing. A login module 166, if needed, may permit
2 the site interaction module 162 to automatically log onto a site where relevant information
3 is stored. A link selection module 168 chooses the most relevant path within the site for
4 retrieval of the desired information. A page parsing module 170 determines whether text
5 from the site is relevant to a user's query.

6 Referring to Figure 5, some data structures that may be used in the filtering module
7 118 are shown. The semantic net 174 is a resource for matching query text from a user to
8 text from a web site. Context clues 176 provide information for contextual comparisons
9 based on classifications 178 of contexts in which a word may be found. A context selector
10 180 selects those contexts that correlate to the proper context for the query and isolates them
11 via filters 182. The filters 182 may reference the context system 184, which simply provides
12 a list of actions corresponding to each instance of a word. For example, the context system
13 may specify that a site should be retained if a keyword is found in a certain context within the
14 site, but that the site should be filtered out if the keyword is used in a different context.

15 Referring to Figure 6, the context clues 176 and the context selector 180 may
16 reference an attributes index 185. The attributes index 185 contains a list of words 186.
17 Each word has at least one meaning 187 indexed to that word, and each meaning 187 has a
18 list of relations 188, such as synonyms, antonyms, subsets, supersets, usage correlation, and
19 usage association. A second meaning 189, and however many meanings exist for the word
20 186, may also be included with an associated list of relations.

21 The relations 188 provide context clues 176 so that a given web site can be classified
22 by context. The context may be determined, for example, by the frequency and combination
23 of relations 188 that appear within the web site. Thus, the filters 182 can filter out those web
24 sites in which the proper keyword is used in an irrelevant context.

25 Figure 7 shows one method for implementation of the data structures of Figure 2.
26 In a mining step 190, a data extraction tool 110 mines information from the network 10. The

1 locations of the information, and possibly some of the information itself, may be compiled and
2 formatted in a database construction step 191. A searching step 192 permits a user to query
3 for information stored by the database construction step 191. A filtering step 193 selects the
4 information most relevant to a user's query. A pinpointing step 194 determines the exact
5 location of the relevant information on the network 10. A presenting step 196 organizes
6 relevant information and provides it to a user. An indexing step 198 links relevant
7 information to the location of that information on the network 10. An updating step 200
8 subsequently performs an automatic search of the network 10 for new information relevant
9 to the user's query.

10 Figure 8 shows possible steps that might be included within the mining step 190. In
11 a route selection step 202, the tool 110 chooses an orderly method for processing information
12 from the network 10. Preferably, the route selection step 202 involves an orderly progression
13 to ensure that each potentially relevant parcel of data is processed once and only once. In an
14 autonavigation step 204, the tool 110 receives information from the network 10 for
15 processing in a content reading step 206.

16 In an evaluation step 208, the tool 110 evaluates the potential relevance of the text
17 146 of a site to future queries of a user. The tool 110 may be directed towards acquiring a
18 certain type of information, or broadly used to obtain and categorize a wide variety of data.
19 The scope of data to be mined determines how selective the evaluation step 110 will be. In
20 a content extraction step 210, potentially relevant content is compared against a listing of
21 needed information to further filter it in a database filtration step 212. The data are indexed
22 for ready access by an addition to a master index step 214.

23 Figure 9 shows possible steps that might be included within the database construction
24 step 191. A database structuring step 216 provides the structure and organization for the
25 information. In a schema provision step 218, a relations recording step 220 and an indices
26 recording step 222 organize data into fields that are appropriately linked together and indexed

1 for rapid reference. In an input data step 224, the tool 110 receives information gathered
2 during the mining step 186.

3 A data classification step 226 uses discrimination functions 228 to categorize
4 information within the schema developed by the schema provision step 218. A schema
5 refining step 230 permits revision of the schema as needed to accommodate information that
6 otherwise cannot be appropriately categorized within the schema. In a records filling step
7 232, the tool 110 adds data to form records.

8 In an addition to database step 234, the tool 110 adds data retrieved by the mining
9 step 186 to the local database. This involves a number of steps. In a site identification step
10 236, the tool 110 identifies sites of relevant information. In a site isolation step 238, the tool
11 110 further filters sites based on criteria provided by a user or by the programming of the tool
12 110. For example, the tool 110 can be programmed to isolate sites capable of conducting
13 commerce over the Internet. In that case, the site isolation step 238 would filter out all sites
14 without a method for conducting commerce through the site. In a site contents classification
15 step 240, the tool 110 classifies data into appropriate categories, as laid out in the schema.
16 A data selection step 242 chooses classifiable data for transmission to a record preparation
17 step 244, where data is added to records in the local database.

18 Referring to Figure 10, a number of steps may be included within the searching step
19 192. A user may request information by entering free form text or other query inputs in a
20 query receiving step 246. In a query parsing step 248, the query is compared against a list
21 of possible contexts by a semantic net reference step 250. In an inquiry preparation step 252,
22 the tool 110 forms a question for a user, in a question selection step 254, to ask for
23 clarification concerning which of the potential contexts that may match the query is the most
24 relevant.

25 The inquiry computation step 256 may provide an estimate of the time required to
26 perform a search for each potential context, so that a user will know how long the tool 110

1 will take to process a search for a given context. This is especially helpful when a user has
2 provided a very broad query. In such a case, the computation time will be high, so a user will
3 know that the search will take a comparatively long time and provide a comparatively large
4 amount of information, perhaps more than desired.

5 In an additional input receiving step 258, the tool 110 receives more text or menu
6 selections from a user to identify which of the context or contexts are desired for searching.
7 In an index reading step 260, the tool 110 reads an index of information contexts created in
8 conjunction with the database construction step 191. The relevant context or contexts in the
9 index are linked to site locations for information. The tool 110 returns these site locations
10 to a user in a pinpointed sites returning step 262.

11 Referring to Figure 11, the searching and filtering modules may alternatively be
12 embodied as shown in Figures 11 through 14, in contrast to the configurations shown in
13 Figures 5, 6, and 10. As above, the input module 116 may transmit text 117 reflecting a
14 search query to the filtering module 118, which may then filter information to isolate what a
15 user is seeking. In this embodiment, the filtering module 118 includes a context construction
16 module 300 for assembling micro-contexts 301 based on the text 117, a context comparison
17 module 302 for converting the micro-contexts 301 to macro-contexts 303, and an information
18 matching modules 304 for matching the macro-contexts 303 to specific information 306
19 responsive to the user's query. The presentation module 122 again provides the information
20 to a user.

21 The input module 116 may acquire text to describe information sought by a user in
22 a variety of different ways. For example, a simple free form text search may be used, wherein
23 the user types a query in plain language. Alternatively, a user may provide key words
24 separated by operators such as and, or, not, and others known in the art. The input module
25 116 may be configured to refine the text through questions to be answered by a user. The
26 filtering module 118 then receives the text from the input module 116. Until processed, the

1 text is only a series of words with no inherent meaning to a computer. The filtering module
2 118, in this embodiment, will convert the text into searchable portions to find matching
3 information of the type desired by a user.

4 Referring to Figure 12, the context construction module 300 is shown in greater
5 detail. The context construction module 300 assembles the words to form small, coherent
6 groups, or micro-contexts 301, for examples, they may contain about 1 to 5 words. This is
7 accomplished in part by using a block parser 316, which breaks down and interprets the text.
8 The text can be broken up by the block parser 300 in a number of different ways. Key words
9 312 and their modifiers, if designated by a user, can form or define natural contexts for
10 searching. Similarly, relative values 314 or priorities assigned to words in the text may be
11 used by the block parser 310 to create micro-contexts 301. Occurrence patterns 316 may be
12 used to form natural separations between groups of words.

13 These occurrence patterns 316 may be obtained from a user's history 318
14 corresponding to a given user's activities with the tool, including prior searches and results,
15 or from a general language database such as the attributes index 185. The user history 316
16 in any case may provide the tool 110 with information concerning what information a user has
17 requested in the past, and therefore what information the user is most likely looking for with
18 a new inquiry.

19 Referring to Figure 13, the context comparison module 302 is shown in greater
20 detail. The context comparison module 302 receives the micro-contexts 301 from the context
21 construction module 300 and compares them to a corpus 330 of information. The corpus 330
22 may simply be a database with samples of information 332 in natural language format, indexed
23 according to macro-contexts 303. These macro-contexts 303 may be more specific than the
24 micro-contexts 301.

25 The corpus 330 is sized to suit the amount and type of information on the network
26 10. The corpus 330, for example, may be composed of portions of text from 100,000 to

1 200,000 web sites, or more, with each portion matched to a macro-context 303. The entire
2 corpus 330 may be between 10 Megabytes and 10 Gigabytes in size, or larger. A text
3 comparison algorithm 336 may be provided to match text from the corpus 330 to the micro-
4 contexts 301, and then return the corresponding macro-context 303. The text comparison
5 algorithm 336 may combine several micro-contexts 301 to permit a more specific search,
6 thereby narrowing the number of matching macro-contexts 303.

7 Ideally, the context comparison module 302 will return a small number of macro-
8 contexts 303. However, this may not be possible for two reasons. First, if multiple,
9 important, micro-contexts 301 are identified by the context construction module 300, they
10 might not appear together within any portion of the corpus 330. In such a case, the context
11 comparison module 302 may return a series of macro-contexts 303 that match some fraction
12 of the important micro-contexts 301. Although these macro-contexts may not precisely
13 match a user's query, they may be ranked in order of likelihood that they will be relevant to
14 the user. The ranking may be obtained by using the user history 318 and other factors, such
15 as the number, probability, or nature of prior requests of the macro-context 303 by other
16 users, to determine the probability that a given macro-context 303 is relevant to the user.

17 Alternatively, the micro-contexts 301 may not even be found in the corpus 330. In
18 that case, a user may be referred to a user tracking module 338, which provides a user with
19 portals to access and search the network 10 directly. The user tracking module 338 permits
20 the tool 110 to track a user's progress through the network 10 to obtain further context
21 information for the current search, acquire more general information regarding contexts
22 important to the user, or find important information not currently present within the corpus
23 330.

24 A rapid mining module 339 may also be accessed while the user tracking module 338
25 is operating, to add nodes 52, or sites 52, to the corpus 330 and to process them through the
26

1 databasing module 114 “on the fly,” or while the user is accessing the tool 110. These may
2 be sites 52 visited by the user or suggested by the user’s query.

3 After searching the network 10 through the user tracking module 338, a user may
4 once again be referred to the input module 116, in order to provide additional text inputs, or
5 the context comparison module 302 may resume operation to process the micro-contexts 301
6 through new additions to the corpus 330.

7 Referring to Figure 14, the information matching module 304 receives macro-
8 contexts 303 from the context comparison module 302 and compares them to an indexed
9 database 350. The indexed database 350 contains specific information 306 of the type desired
10 by the user, indexed by macro-contexts 354 identical or similar to those provided by the
11 context comparison module 302. Thus, using a structure-matching algorithm 356, the
12 information matching module 304 can find the portion of specific information 306 that
13 correlates to the macro-contexts 303 provided by the context comparison module 302. The
14 specific information 306 located by the information matching module 304 may then be
15 returned to the presentation module 122 to be presented to a user.

16 The presentation module 122 is preferably flexible in its operation. For example, the
17 depth and breadth of specific information 306 returned may be varied according to a user’s
18 preferences. Once the specific information 306 is located within the indexed database 350,
19 proximate information is easily gathered and returned. The order and arrangement of specific
20 information 306 displayed may also be determined manually by a user or automatically by
21 reference to the user history 318.

22 Referring to Figure 15, the indexed database 350 may be structured as a hierarchical
23 database 400. The hierarchical database 400 is configured like a tree, with general
24 information at the top and more specific information below. A parcel of information 402
25 desired by a user is a specific portion, and is therefore near the bottom of the hierarchical
26 database 400. According to traditional methods prior to the current invention, a user would

6 The current invention permits a user to navigate across hierarchies straight to the
7 parcel of information 402. The hierarchical database 400 remains transparent to the user,
8 who need not familiarize himself or herself with the structure of the hierarchical database 400.
9 Thus, the method disclosed herein provides horizontal navigation across a hierarchical
10 database, in which the tool 110 intelligently determines exactly what the user is looking for
11 and searches among the more specific, lower branches of the hierarchical database 400 to find
12 it.

One application of such a hierarchical, searchable database is to provide information about products for sale over the Internet. In such a case, the presentation module 122 ultimately returns words to the user to denote the various products in the hierarchical database 400 that match the user's request. The presentation module 122 may, for example, be configured to sort products matching the user's request by brand, model, specifications, price, vendor, availability, distance to the vendor from the user, shipping cost, or any number of other relevant parameters.

In addition, the login module 166 may operate to navigate a site 52 for a user, including forms presented by the site 52 to collect information from the user. Thus, not only is a user freed from the need to navigate the hierarchical database, the user may also be permitted to access the site 52 and conduct business on it without having to navigate the structure of the site 52.

The tool 110 as configured above is also well adapted for use without such a hierarchical structure. The context matching capabilities of the tool 110 make the tool 110

1 effective for improving the relevance and completeness of results to a query, regardless of
2 what formats are used by the tool 110 to maintain and organize a local database. This is a
3 vast improvement over current search engines, which typically search only for the exact text
4 provided by the user, and thus deliver results that include irrelevant items and fail to include
5 important information.

6 From the above discussion, it will be appreciated that the present invention provides
7 a data extraction tool for extracting information from an information source. Extracted
8 information is cataloged and indexed for future searching by a user. Although not limited to
9 commerce, the method disclosed herein may be adapted to search for commerce-ready web
10 sites on the Internet.

11 The present invention may be embodied in other specific forms without departing
12 from its structures, methods, or other essential characteristics as broadly described herein and
13 claimed hereinafter. The described embodiments are to be considered in all respects only as
14 illustrative, and not restrictive. The scope of the invention is, therefore, indicated by the
15 appended claims, rather than by the foregoing description. All changes that come within the
16 meaning and range of equivalency of the claims are to be embraced within their scope.

17 What is claimed and desired to be secured by United States Letters Patent is:
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1 1. An apparatus for extracting information desired by a user from a source, the
2 apparatus comprising:

3 an input module for acquiring text from a user;

4 a filtering module configured to receive the text from the input module and compare
5 the text to a corpus to acquire a micro-context relevant to the text, the filtering module
6 configured to locate the information by matching the micro-context to a database; and

7 a presentation module configured to receive the information and present the
8 information to a user.

9
10 2. The apparatus of claim 1, wherein the micro-context is independent of a
11 hierarchical ordering of the database.

12
13 3. The apparatus of claim 2, wherein the filtering module comprises a context
14 construction module configured to receive text from the input module and combine words in
15 the text to form the micro-context, the micro-context further being characteristic of the
16 information.

17
18 4. The apparatus of claim 3, wherein the filtering module further comprises a context
19 comparison module configured to receive the micro-context from the context construction
20 module and acquire a macro-context relevant to the database by comparing the micro-context
21 to the corpus.

22
23 5. The apparatus of claim 4, wherein the filtering module further comprises an
24 information matching module configured to receive the macro-context from the context
25 comparison module and determine a location of the macro-context in the database, the
26 database being contextually indexed for searching by context.

1 6. The apparatus of claim 5, wherein the presentation module is configured to
2 selectively present the information in a format designated by a user.

3
4 7. The apparatus of claim 5, further comprising a mining module configured to
5 independently add new data to the database by selectively retrieving the new data from the
6 source.

7
8 8. The apparatus of claim 7, wherein the mining module retrieves data from the
9 source over a network.

10
11 9. The apparatus of claim 8, wherein the source is substantially remote from the
12 mining module.

13
14 10. The apparatus of claim 9, wherein the network is the Internet.

15
16 11. The apparatus of claim 10, wherein the information includes data about products
17 purchasable by a user over the Internet.

18
19 12. The apparatus of claim 5, further comprising an updating module configured to
20 independently update the information periodically after presentation to a user.

21
22 13. The apparatus of claim 12, wherein the database further comprises a subset
23 configured to store the information for future access by a user.

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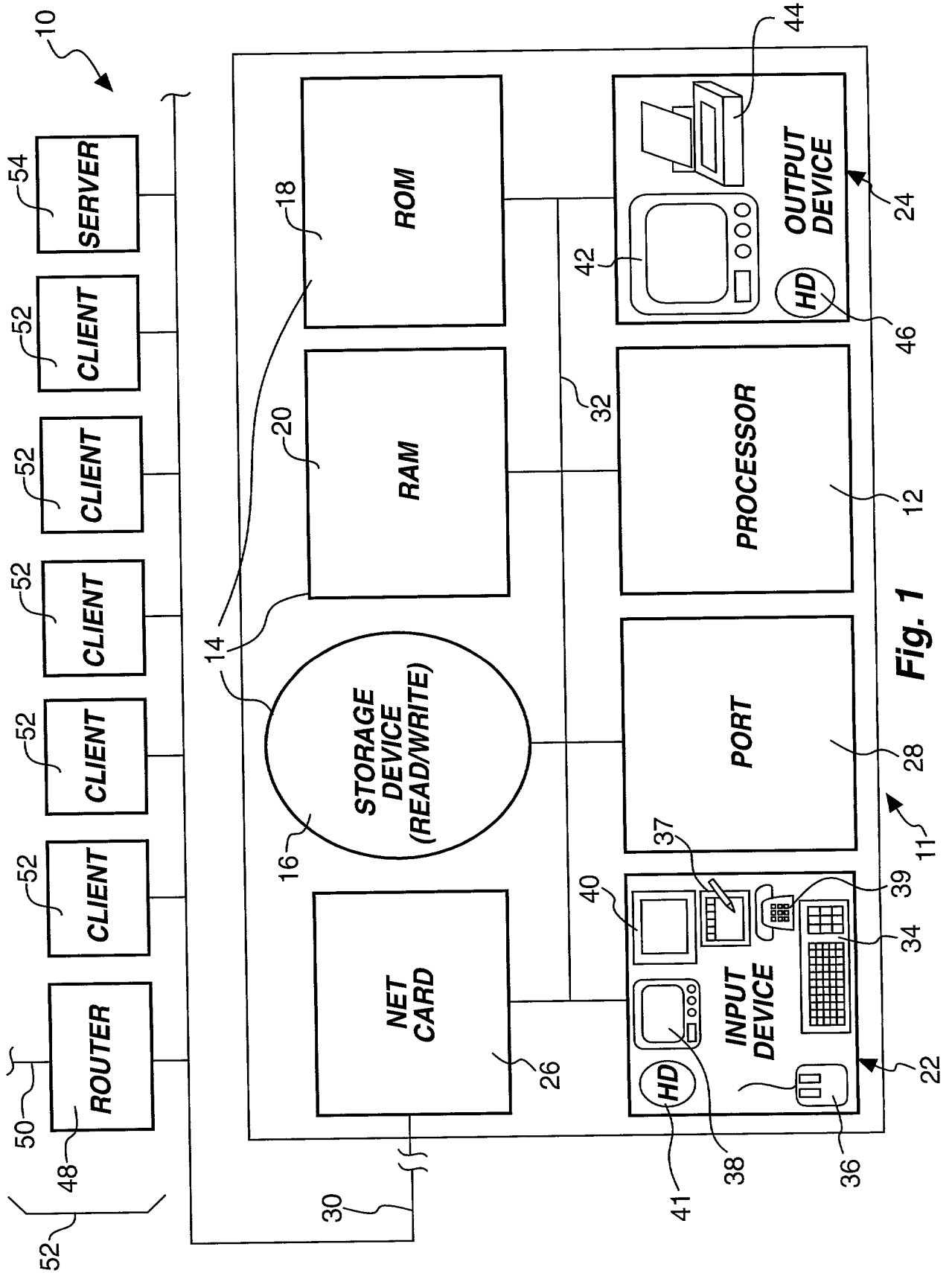


Fig. 1

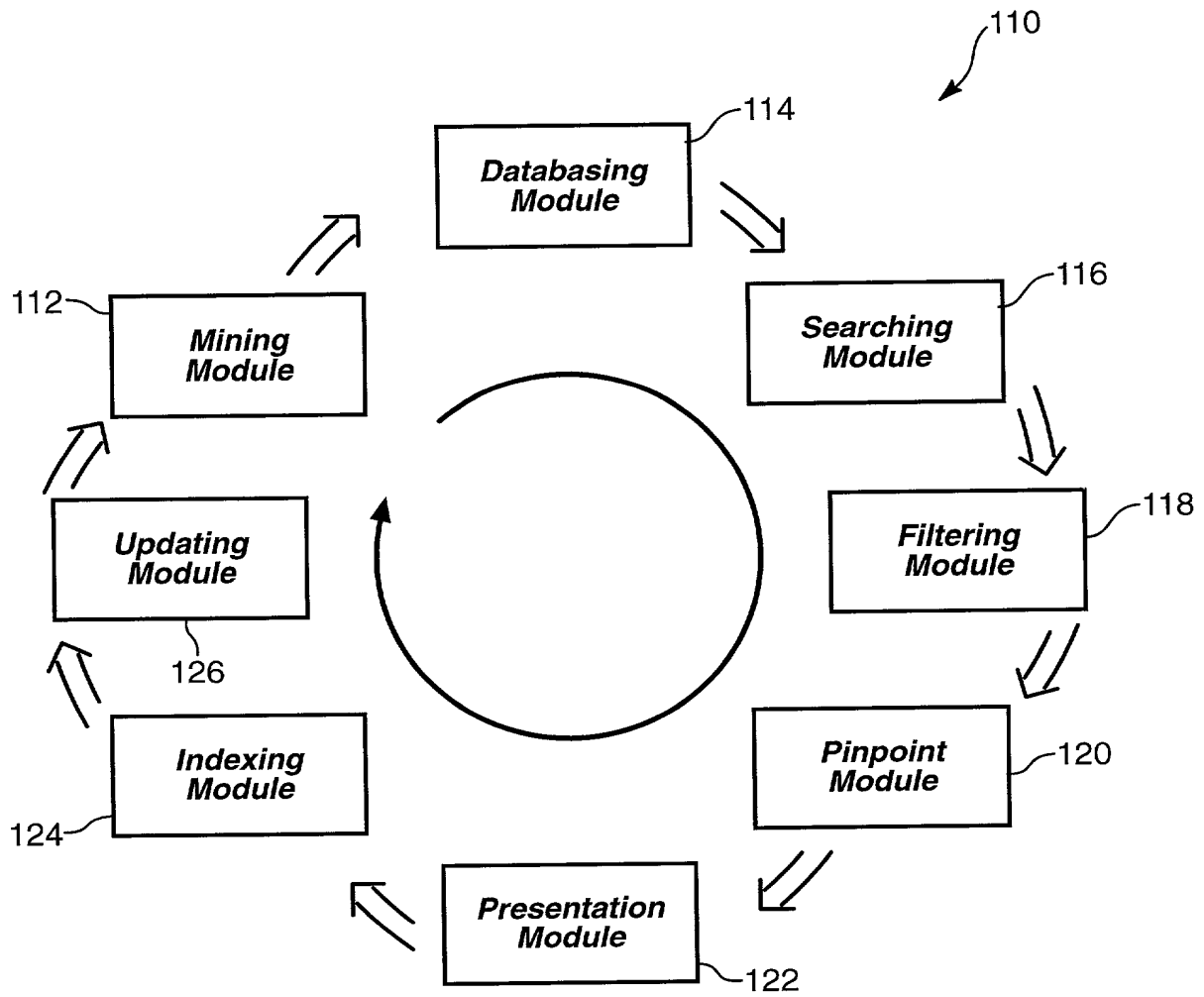


Fig. 2

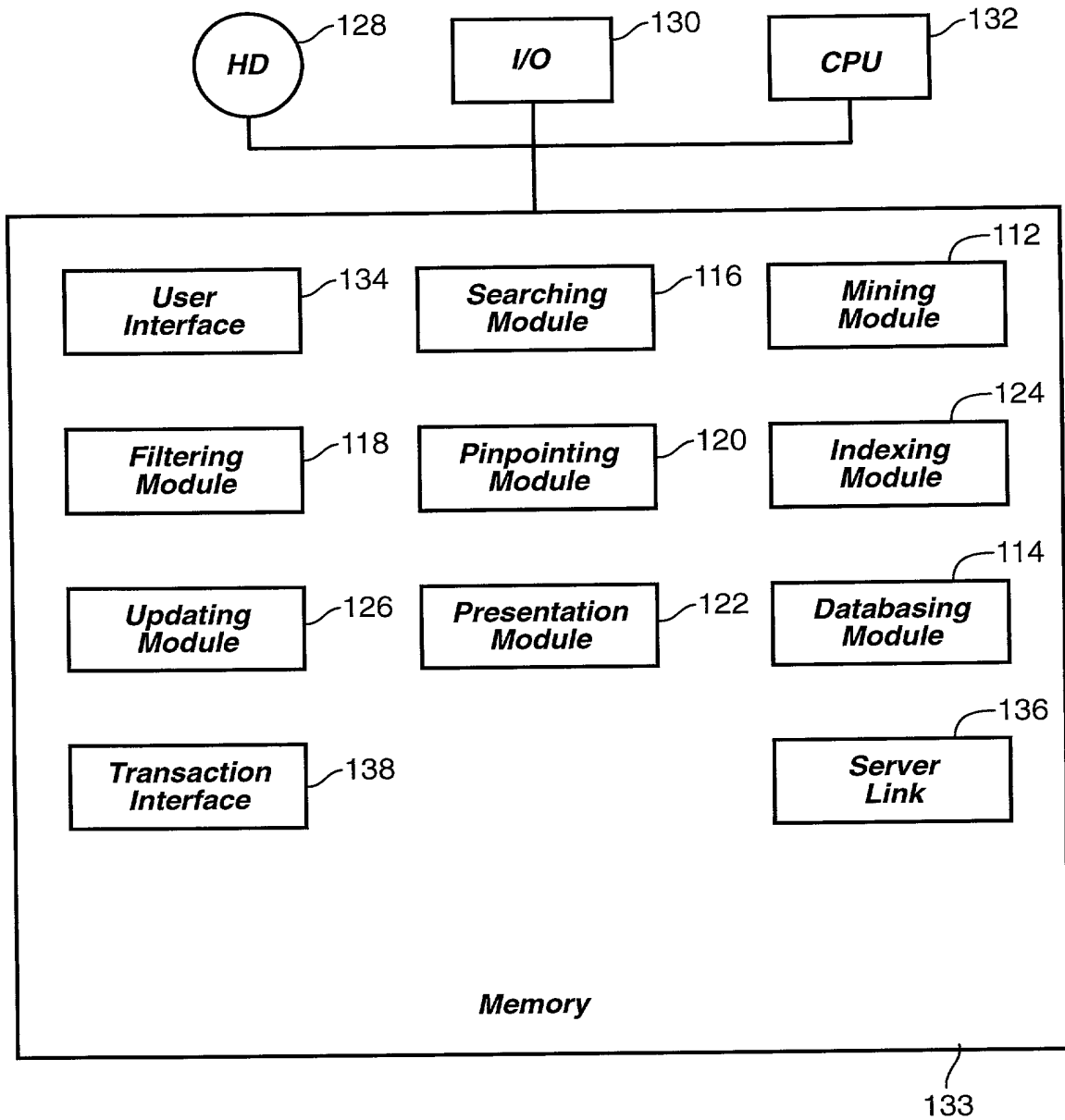


Fig. 3

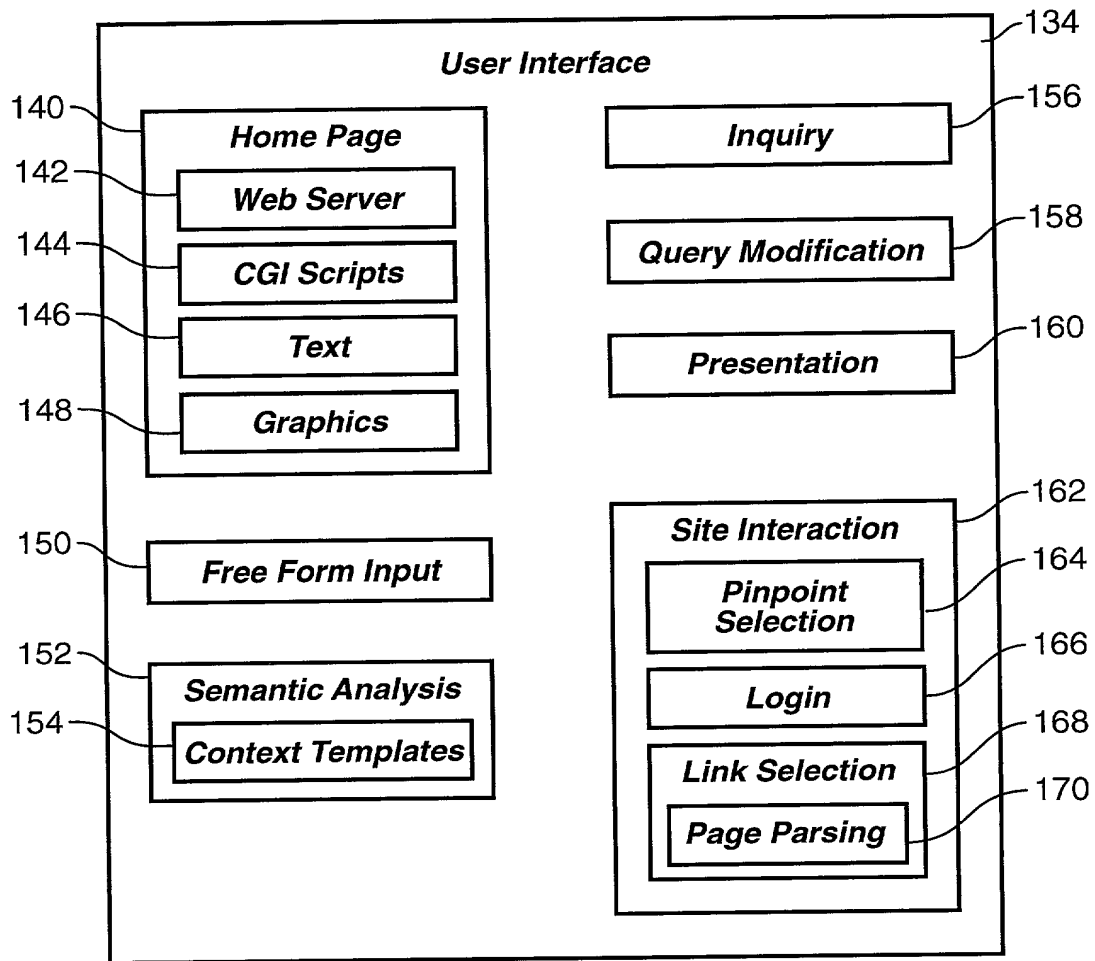


Fig. 4

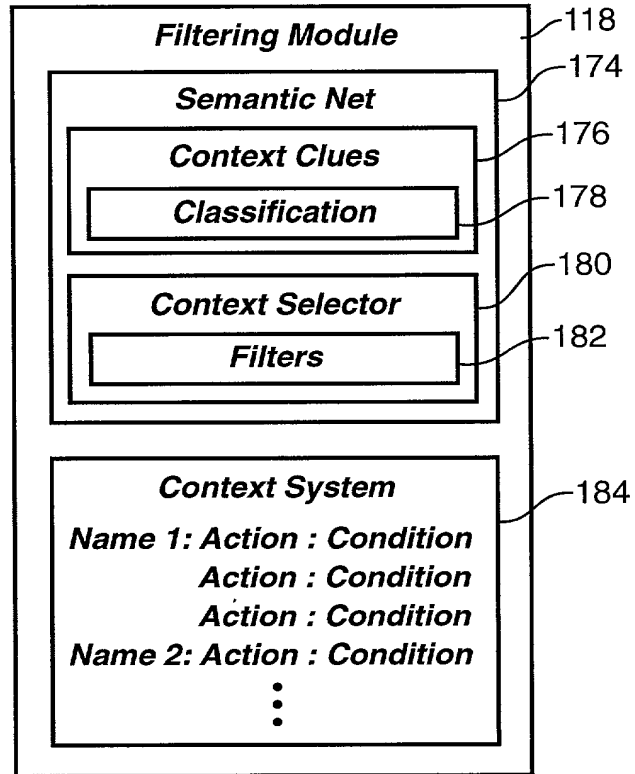


Fig. 5



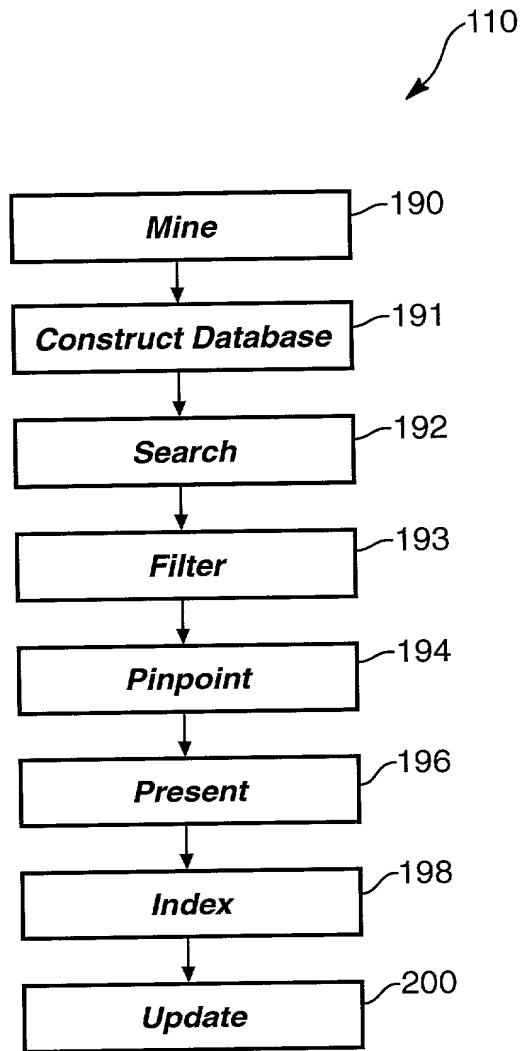


Fig. 7

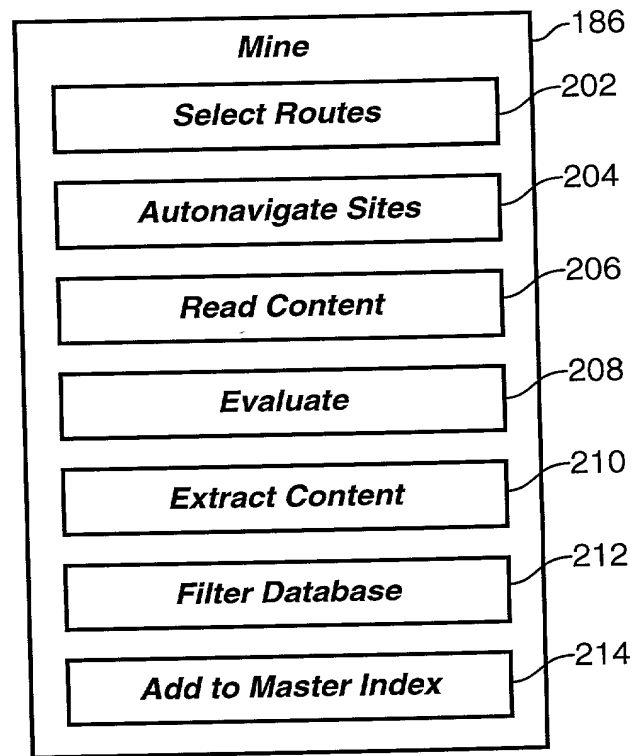


Fig. 8

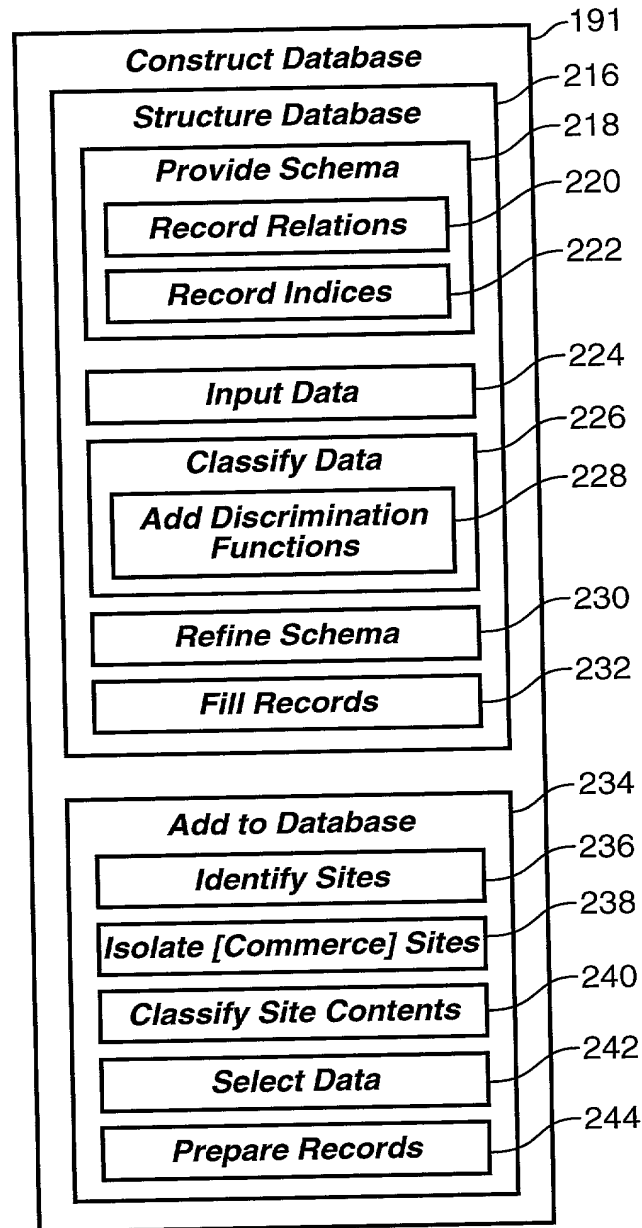


Fig. 9

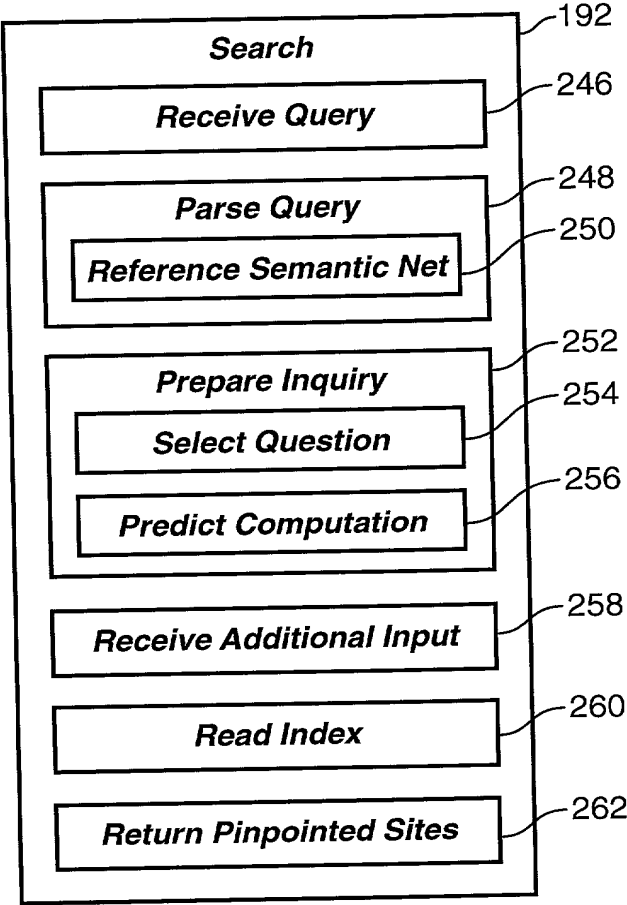


Fig. 10

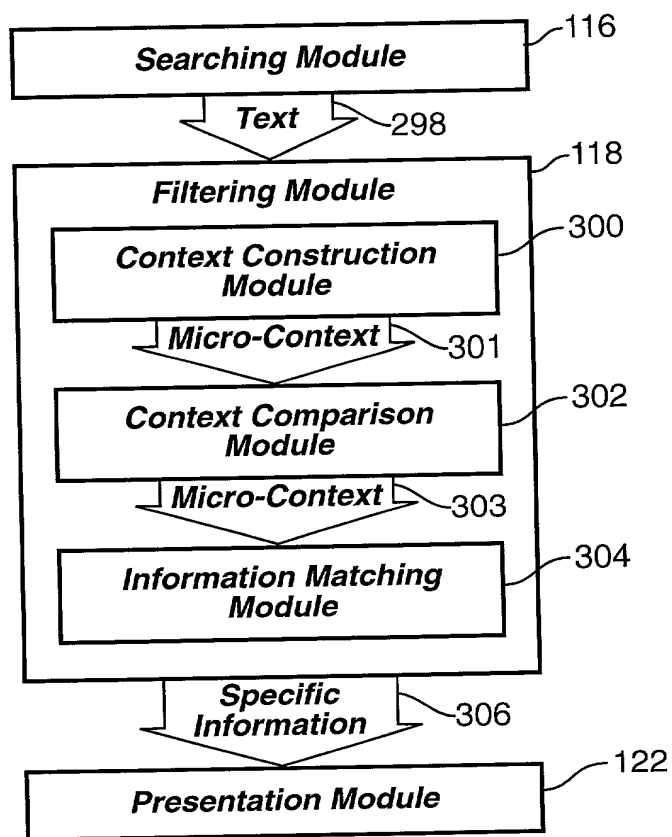


Fig. 11

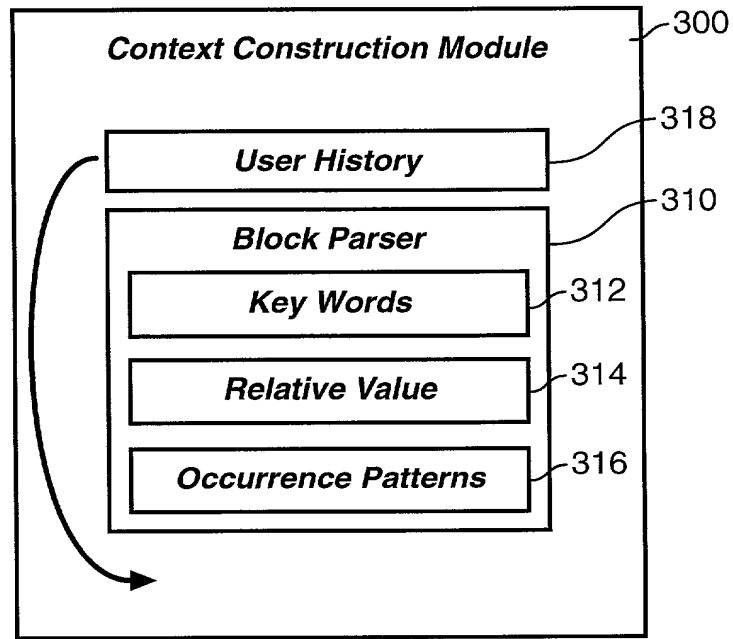


Fig. 12

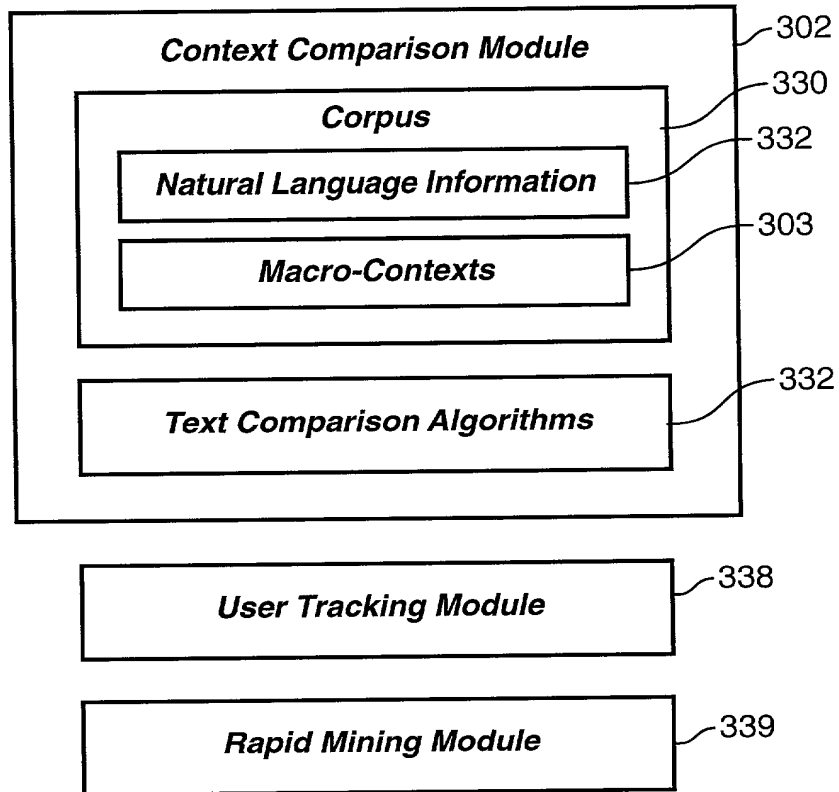


Fig. 13

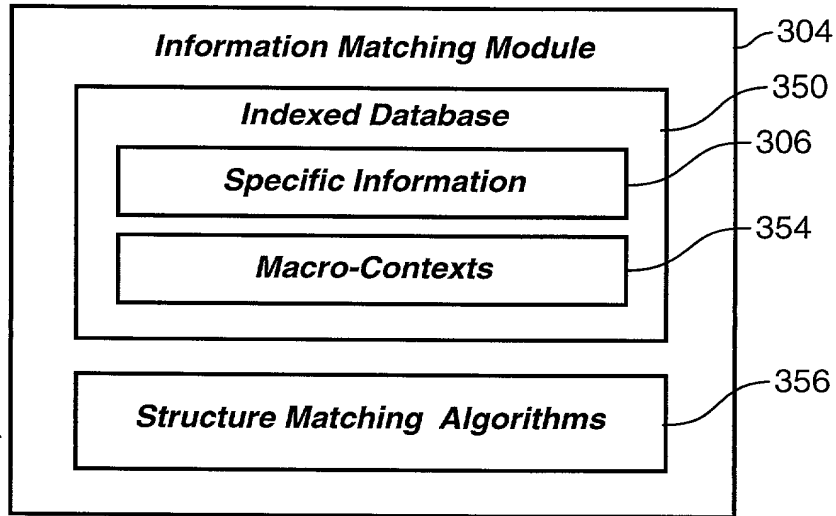


Fig. 14

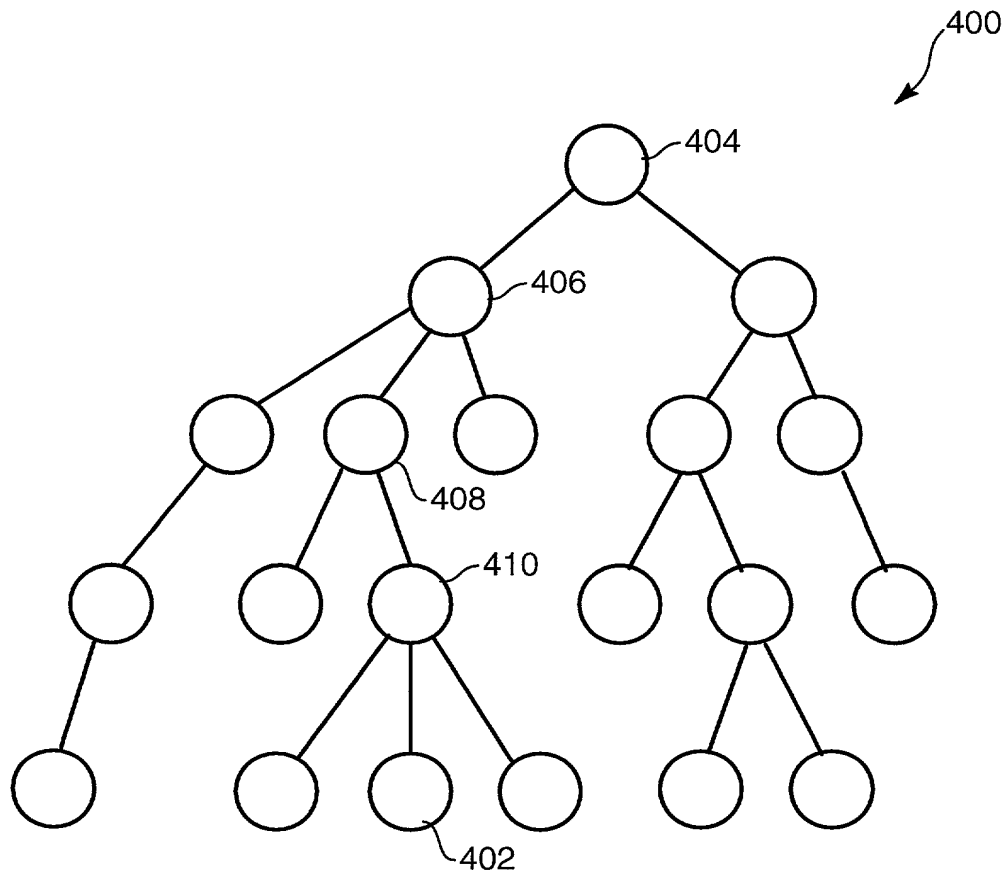


Fig. 15

DECLARATION, POWER OF ATTORNEY AND PETITION

I, David C. Taylor, declare: that I am a citizen of the United States of America; that my residence and post office address is 1390 West 2000 North, Mapleton, Utah 84664; that I verily believe I am the original, first, and sole inventor of the subject matter of the invention or discovery entitled USER-CONTEXT ANALYSIS ENGINE, for which a patent is sought and which is described and claimed in the specification attached hereto; that I have reviewed and understand the contents of the above-identified specification, including the claims; and that I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Section 1.56 of Title 37 of the Code of Federal Regulations.

I hereby claim the benefit under Section 119 of Title 35 of the United States Code of the earlier filed pending application, Serial No. 60/146,878, filed August 3, 1999; and, insofar as the subject matter of each of the claims of this application is not disclosed in the earlier filed pending application in the manner provided by the first paragraph of Section 112 of Title 35 of the United States Code, we acknowledge the duty to disclose material information, as defined in Section 1.56(a) of Title 37 of the Code of Federal Regulations, which occurred between the filing date of the earlier filed application and the filing date of this application.

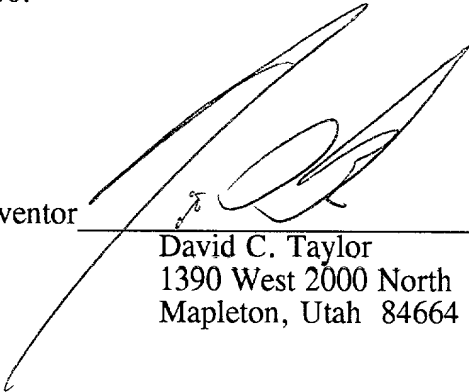
I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoint as my attorneys and/or patent agents those individuals associated with U.S. Patent and Trademark Office Customer No. 21552 with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. All correspondence and telephonic communications should be directed to A. John Pate at the address associated with Customer No. 21552.

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, declaration, power of attorney, and this petition.

Signed at Provo, UT, this 1 day of August, 2000.
(City) (State)

Inventor


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Mapleton, Utah 84664